

PTO-1083

MAIL STOP: Amendment  
 COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, VA 22313-1450



Docket No.: 874.1001US  
 Date: October 12, 2007

In re application of: **Andrew T. HECHT**

Serial No.: 10/689,833

Filed: October 20, 2003

For: **METHOD FOR VALUING FORWARDS, FUTURES AND OPTIONS ON REAL ESTATE**

Sir:

Transmitted herewith is a **Supplemental Information Disclosure Statement (1 page)** in the above-identified application.

☒ Small entity status of this application under 37 C.F.R. 1.9 and 1.27 has been established by a verified statement previously submitted.

☐ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27 is enclosed.

☒ No fee for additional claims is required.

☐ A filing fee for additional claims calculated as shown below, is required:

FOR:	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	REMAINING	HIGHEST	RATE	FEE		RATE	FEE
	AFTER	PREVIOUSLY	PRESENT				
	AMENDMENT	PAID FOR	EXTRA				
TOTAL CLAIMS	Minus 20	=	x \$ 9	\$		x \$ 18	\$ .00
INDEP. CLAIMS	Minus 3	=	x \$ 39	\$		x \$ 78	\$ .00
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIMS				30	\$	+ \$ 260	\$ .00
				TOTAL:	\$	OR	TOTAL: \$ .00

\* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

☒ Also transmitted herewith are:

☐ Petition for extension under 37 C.F.R. 1.136

☒ Other: **Return Receipt Post Card, PTO Form 1449 (1 page) along with a copy of PCT/US04/20554 International Search Report.**

☐ Check(s) in the amounts of **\$0.00** is attached to cover:

☐ Filing fee for additional claims under 37 C.F.R. 1.16

☐ Petition fee for extension under 37 C.F.R. 1.136

☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.

☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.

☒ Any patent application processing fees under 37 C.F.R. 1.17.

☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

Cary S. Kappel, Reg. No. 36, 561  
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I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service with sufficient postage as "first class mail" in an envelope addressed to Mail Stop: Amendment "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" on October 12, 2007.  
 DAVIDSON, DAVIDSON & KAPPEL, LLC

BY:

Cary S. Kappel



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application No.: 10/689,833  
Applicant: Andrew T. HECHT  
Attorney Docket: 874.1001  
Filing Date: October 20, 2003  
Title: **METHOD FOR VALUING FORWARDS, FUTURES,  
AND OPTIONS ON REAL ESTATE**

Mail Stop: AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

October 12, 2007

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

In accordance with the provisions of 37 C.F.R. § 1.97, Applicants hereby make of record the documents listed on the accompanying PTO-1449 Form (1 page) for consideration by the Examiner in connection with the examination of the above-identified patent application. While the references are being submitted herewith, some or all of the references may not constitute prior art under the U.S. patent laws. Also attached is the PCT/US04/0554 International Search Report.

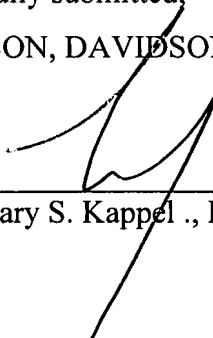
This Information Disclosure Statement is filed under 37 C.F.R. §1.97 (b), before the mailing date of a First Office Action. Therefore, no fee is believed due. In the event any additional fee is due in connection with this response or if any fee has been overpaid, the deficiency or overpayment should be charged to our Deposit Account No. 50-0552.

Applicants respectfully request that the references cited in the accompanying PTO-1449 form be considered and made of record. Applicants respectfully submit that the pending claims are patentable over all of the references made of record at this time.

Respectfully submitted,

DAVIDSON, DAVIDSON & KAPPEL, LLC

By

  
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